Attorney Docket No. 109886-130204

OCT 2 7 2003

N THE UNITED STATES PATENT AND TRADEMARK OF CONTROL OF

cation for:

Glassco et al.

Application No.: 09/771,514

Filed: 01/27/01

For: METHOD AND APPARATUS FOR

MANAGING AND

ADMINISTERING LICENSING OF

MULTI-FUNCTION OFFERING

APPLICATION

Art Group: 3621

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

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Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA; (2) Within three months of entry into National Stage; (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of

AND

in 1.56(c) more than three months prior to filing this IDS.

information contained in this IDS was known to any individual designated

(2) The fee set forth in 37 C.F.R. § 1.17(p).

A check in the amount of \$180.00 is enclosed.

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

____37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).

_____37 C.F.R. § 1.98(d) as being previously submitted in parent application __/__,__, to which the present application claims priority under 35 U.S.C. § 120.

X Those U.S. Patent references waived from the requirement of 37 C.F.R. § 1.98 (a)(2)(i) .

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: 10/73/03

Pacwest Center, Suites 1600-1900

1211 SW Fifth Avenue Portland, Oregon 97204

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Adam L.K. Philipp

Registration No. 42,071

Application No.: 09/771,514

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

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(Use as many sheets as necessary)

Coi	mplete if F	Known		
Application Number	09/771,5	14	-	
Filing Date	01/27/01	· ·	000	
First Named Inventor	Glassco	et al.		<u>s</u>
Art Unit	3621			
Examiner Name	John W.	Hayes		
Attorney Docket Number	109886-1	130204		70.
T DOCUMENTS				
Name of Patentee or Applicant of Cited Document		Relevant Pas	mns, Lines, Wher ssages or Releva res Appear	
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				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 5,805,889	09/08/98	Van De Vanter	
	2	^{US-} 6,088,702	07/11/00	Plantz et al.	
	3	^{US-} 6,128,618	10/03/00	Eliovson	
_	4	^{US-} 6,314,408	11/06/01	Salas et al.	
	5	^{US-} 6,453,305	09/17/02	Glassman et al.	
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		US-			

	-	FOREI	GN PATENT DOC	JMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	6	EP 0 769 739	04/23/97	Sun Microsys.		
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Examiner	Date	
Signature	Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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	Compl te if Kn wn	17/2
Application Number	09/771,514	0
Filing Dat	01/27/01	Co oct 3
First Named Inventor	Glassco et al.	ALTO!
Art Unit	3621	OP
Examiner Name	John W. Hayes	
Attorney Docket Number	109886-130204	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	7	Hayhoe, George, F., "No Desktop is an Island; Groupware Needs of": IEEE, 18-20 Oct 1989; pp. 228-231.	
	8	Howard, John H., "Using reconciliation to share files": IEEE, 14-15 Oct 1993; pp. 56-60.	
	9	Bass, Julian M. et al., "Automating the Development of Distributed": IEEE, Vol. 2, Issue 4; Winter 1994; pp. 9-18.	
	10	Yu, CF., "Access Control and Authorization Plan for Customer Control": IEEE, Nov 27, 1989; pp. 862-869.	
	11	Bentley, R. et al., "Supporting Collaborative Information Sharing with": World Wide Web Journal, Dec 11, 1995; pp. 63-73.	
	12	Mariani, J.A. et al., "Cooperative Information Sharing: Developing a": Computer Journal, Oxford University Press, vol. 39, no. 6, 1996, pp. 455-470.	

Examiner	 Date	
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